

- Q.31 What are the functions of the stock exchange?
- Q.32 Discuss the various charting techniques used in technical analysis.

### SECTION-D

**Note:** Long answer type questions. Attempt any three questions out of four questions. 3x10=30

- Q.33 Discuss the nature and process of investment.
- Q.34 Explain the Dow theory in technical analysis.
- Q.35 Describe Markowitz's mean variance portfolio theory.
- Q.36 Illustrate the concept of CAPM.

No. of Printed Pages : 4

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**5th Sem. / FAA, OMCA**

**Subject : Investment Management / Fin Mktg. & Fin. Sys.**

Time : 3 Hrs.

M.M. : 100

### SECTION-A

**Note:** Objective type questions. All questions are compulsory (10x1=10)

- Q.1 Name two investment alternatives.
- Q.2 Write one feature of stock exchange.
- Q.3 Sharpe's index model is an improvement over Markowitz's model. (True/False)
- Q.4 Positive yield to maturity curve slopes \_\_\_\_\_ (upward/downward)
- Q.5 Name the types of futures contracts.
- Q.6 Give one distinction between options and futures.
- Q.7 Mention one disadvantage of options.

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Q.8 What do you understand by return?

Q.9 What is a portfolio?

Q.10 What is a call option?

## SECTION-B

**Note:**Very short answer type questions. Attempt any ten questions out of twelve questions. 10x2=20

Q.11 Define investment.

Q.12 Write two advantages of listing of securities.

Q.13 What is company analysis?

Q.14 What do you understand by term structure of interest rates?

Q.15 Define diversification.

Q.16 What do you understand by futures?

Q.17 What is systematic risk?

Q.18 What is economic analysis?

Q.19 Define equity.

(2)

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Q.20 Write two advantages of convertible securities.

Q.21 Define CAPM.

Q.22 Write two advantages of options.

## SECTION-C

**Note:**Short answer type questions. Attempt any eight questions out of ten questions. 8x5=40

Q.23 How can you identify investment opportunities?

Q.24 What are the principal instruments for the regulation of the stock markets?

Q.25 Distinguish between technical and fundamental analysis.

Q.26 Write the features of bonds?

Q.27 Explain the Sharpe model.

Q.28 What are the assumptions of CAPM?

Q.29 Write the limitations of futures.

Q.30 Describe the valuation of bonds.

(3)

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